



Center for Computational Science - Restricted Party Screening Request

**You must download to your desktop before completing. Once completed, save prior to sending or all info will be lost.
Please read the following in its entirety before completing the form**

In response to federal regulations, the University of Miami (UM) requires Restricted Party Screening (RPS) conducted on persons and entities before engaging in any business transaction. The UM Center for Computational Science (CCS) - RPS "EXPORT-S-009_CCS form; is to furnish guidance with a tailored approach for RPS procedures for the foreign persons and entities that will be utilizing the Supercomputer services. CCS RPS method will focus on a risks matrix analysis process to determine - Low - Moderate - High risks entities, governments, entities and individuals screened for the CCS services rendered. The CCS RPS is done **prior to engaging in business activities** to confirm that the person or entity does not appear on any agency list of denied or excluded parties.

INSTRUCTIONS:

- Please be sure to type in the field "Individual Name" as it appears on his/her passport or driver license. Do not use titles, abbreviations, or nick names. (e.g., Dr., Mr., Ph.D., MD)
- If you are not certain how to answer a question, please do not check "no". Instead, please contact the Export Control Compliance office at: exportcontrol@miami.edu.
- The form is to be returned to the Export Control Compliance office mailbox of the person or entity to be screened in the subject line. You may copy on the "Cc" any relevant persons except the person or entity being screened as this is an internal process not to be shared. (see example below)

To...	exportcontrol@miami.edu;
Cc...	Collins, William Jesus;
Subject	SEBASTIAN THE IBIS

Information:

- Reviews are typically completed within **2 business days** provided no additional information is needed. Once reviewed, the final decision will be emailed back to the requestor and any other UM personnel as appropriate.
 - Questions regarding export licenses may be addressed with UM's Director, Export Control Compliance. Email: exportcontrol@miami.edu or telephone (305) 284-9558.



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Requestor Information

Requestor Name: Date: UM Department:
 Campus: Requestor Email: Requestor Phone:

Please provide a brief summary of the reason¹ why you are requesting a Restricted Party Screening (RPS):

Person/Entity Information

Company Name: Individual Name: **(as on Passport)**
 Position/Title: Address:
 City: State: Zip Code:
 Country :
 Country of Citizenship:

Person /Entity Information

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	Is a non-disclosure agreement (NDA), or other similar documentation required for the business transaction taking place?
<input type="checkbox"/>	<input type="checkbox"/>	Will the person/entity be working with or have access to high-technology equipment such as ² : high-energy lasers, underwater acoustics, accelerometers, SONAR, satellite, nanotechnology, night-vision goggles, spectrometers, towed array systems, hydrophones and related systems, bi-static radar, multi-phased array radar, spectrum analyzers for intelligence purposes, UAVs, AUVs, ROVs, etc.?
<input type="checkbox"/>	<input type="checkbox"/>	Will the person/entity be on a UM contract or grant?
<input type="checkbox"/>	<input type="checkbox"/>	Will the person/entity be assigned to work on or have access to research that is inherently military?
<input type="checkbox"/>	<input type="checkbox"/>	Will the person/entity be working with or have access to any of the select agents and/or toxins which are also within the seven categories of experiments identified under the U.S. Government's Policy on Dual-Use Research of Concern?
<input type="checkbox"/>	<input type="checkbox"/>	Will the person/entity be assigned to work on or have access to projects sponsored by a foreign government, foreign agency or other foreign entity type?

For Use By the Export Control Compliance Office

RPS Completed Date: Applicant Cleared Applicant Not Cleared

Comments:

¹e.g., Type of research being conducted on the Supercomputer.

²The items listed are not inclusive. Contact the [Director, Export Control Compliance](#) for questions about specific equipment.