

VPRS Administrative Updates

March 2023

Agenda



- Transition to STRATA & impacts to Costing Allocations at MSOM
- Reminder! Make sure PIs are aware of PAAs
- SBIR/STTR Submission Requirements
- Unobligated Balance Reporting on RPPRs and Prams
- Effort
- Submitting rebudgeting or NCE requests directly to sponsors
- Poll: Should we re-implement the IPAR form?
- New Research Resources
 - Research Compass
 - IT Resources for Researchers
- AAHRPP Reaccreditation update
- UDisclose System Updates
- IACUC System Implementation Update



Transition to STRATA & Impacts to Costing Allocations at MSOM

Laura Kozma
*Associate Vice President
Research Administration*

Cristina Elgarresta
*Associate Vice President
Total Rewards*

Transition to STRATA & Impacts to Costing Allocations at MSOM





Reminder! Make Sure PIs Are Aware of PAAs

Laura Kozma
*Associate Vice President
Research Administration*

Make Sure PIs Are Aware of PAAs

- Only the PI can confirm the amount of time individuals are working on a project
- Payroll allocations and PAAs must never be submitted unless the PI is aware of and approves it
- Exceptions may exist for changes related to salary cap (no longer required after implementation of salary cap in workday) or for some PAAs related to retro pay





SBIR/STTR Submission Requirements

Brandon Strickland
Executive Director
Research Administration

SBIR/STTR Submission Requirements



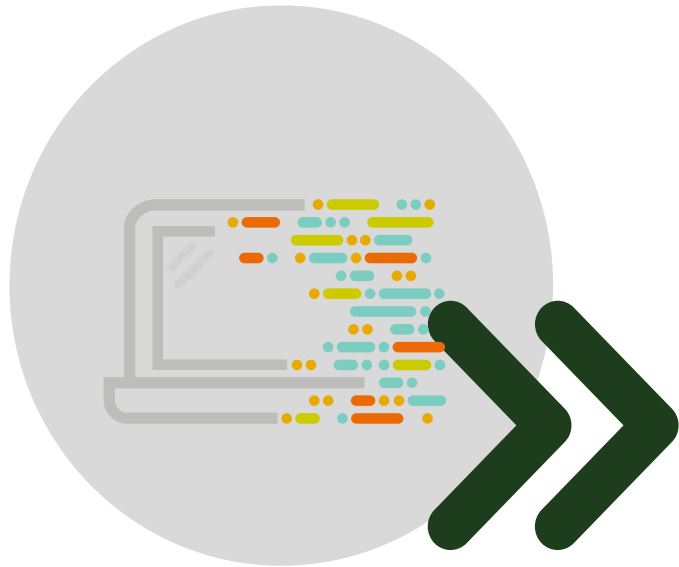


Unobligated Balance Reporting on RPPRs and PRAMs

Brandon Strickland
Executive Director
Research Administration

Unobligated Balance Reporting on RPPRs and PRAMs





Effort

Kimberly Croft
Executive Director
Research Administration

Upcoming Changes

- Weekly PAA loads
- Time period to correct previously certified effort:
 - Currently up to 4 months after the end of the reporting period
 - Starting next certification period, up to 2 weeks after the end of the certification period
- Future: System shut down will be minimized



Reminders

- PAA justifications must be adequate to be approved
- Clear defaults regularly and timely
- Ensure costs for terminated employees are being allocated to grants timely
- Update costing allocations



Other Announcements

- System is still down
- Suggestions to improve?
 - Send to: Kimberly Croft kcroft@miami.edu





Submitting Rebudgeting, or NCE Requests Directly to Sponsors

Lionel Vera
Executive Director
Research Administration

Submitting Rebudgeting or NCE Requests to Sponsors



- Requests requiring sponsor prior approval, such as Rebudgeting and NCE's, must be submitted through Research Administration (RA).
 - RA can copy the department on communications, if necessary.
- Avoids delays when sponsors require the request to come from RA.
- Increases communications and avoids unnecessary work (e.g., RA closing award while NCE request pending).



Poll

Lionel Vera
Executive Director
Research Administration

INTERNAL PRIOR APPROVAL REQUEST (IPAR) – Revised January 2018

Submit form for approval to the Office of Research Administration



Principal Investigator: _____ Cost Center #: _____

Phone: _____ Fax: _____ School: _____

Agency Name: _____ Agency Grant # (if pending, proposal # will be assigned) _____

Budget Start Date: _____ Budget End Date: _____ Award # (if pending, insert N/A) _____

Project Title: _____

I. APPROVAL IS REQUESTED FOR THE FOLLOWING ACTION(S):

<input type="checkbox"/> PreAward Costs up to 90 days for federal awards issued under Delegated Authority only. Enter pre-award date requested here _____ and complete sections III and IV.
<input type="checkbox"/> End Date Extension with no new funding from sponsored agency. Extension cannot exceed 12 months and not more than one extension can be granted without prior written, agency approval. <u>Must be requested 30 days before original end date of Project.</u> Justifications for end date extensions must be based on scientific reasons, not on explanations that are based on the use of funds remaining at end of project . Enter new end date for award here and _____ complete sections III and IV.
<input type="checkbox"/> Equipment Acquisition not included in original budget. Complete Sections II, III and IV.
<input type="checkbox"/> Rebudgeting. Complete Sections II, III and IV.
<input type="checkbox"/> Other Category of Request. Specify as part of Section III below, complete section IV and also section II (if pertinent).

II. ACTION INDICATED ABOVE _____ **REBUDGETING** NOTE: Rebudgeting applies to Federal grants awarded under Delegated Authorities. Other awarding agencies may have stricter requirements. Please consult with the Office of Research Administration to determine if UM is authorized to rebudget, or if prior written, agency approval is required.

Increase Budget:			Decrease Budget:		
Spend Category	Description	Amount	Spend Category	Description	Amount
		\$			\$
		\$			\$
		\$			\$
	F&A (Indirect) Cost	\$		F&A (Indirect) Cost	\$
	TOTAL	\$		TOTAL	\$

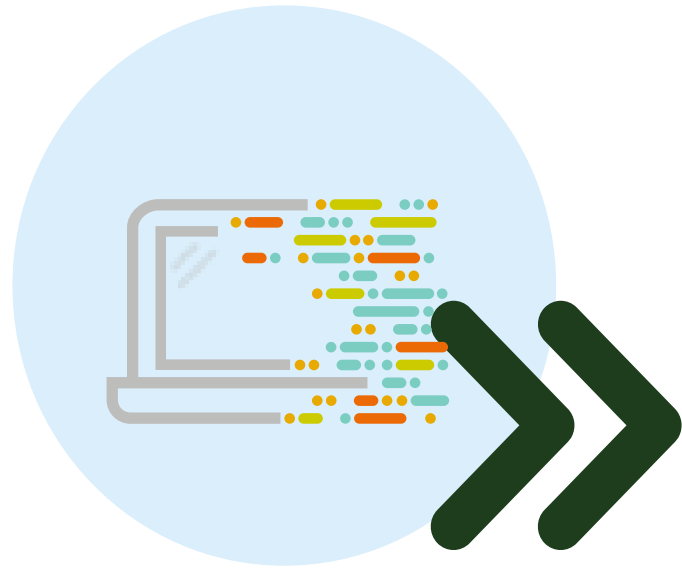
III. EXPLANATION/JUSTIFICATION REQUIRED. Please document below why action requested is necessary and how it specifically relates to and benefits the project. Check here if additional pages are attached.



New Research Resources

Patty Atkinson

*Research Navigator, Research Development +
Education (RDE)*

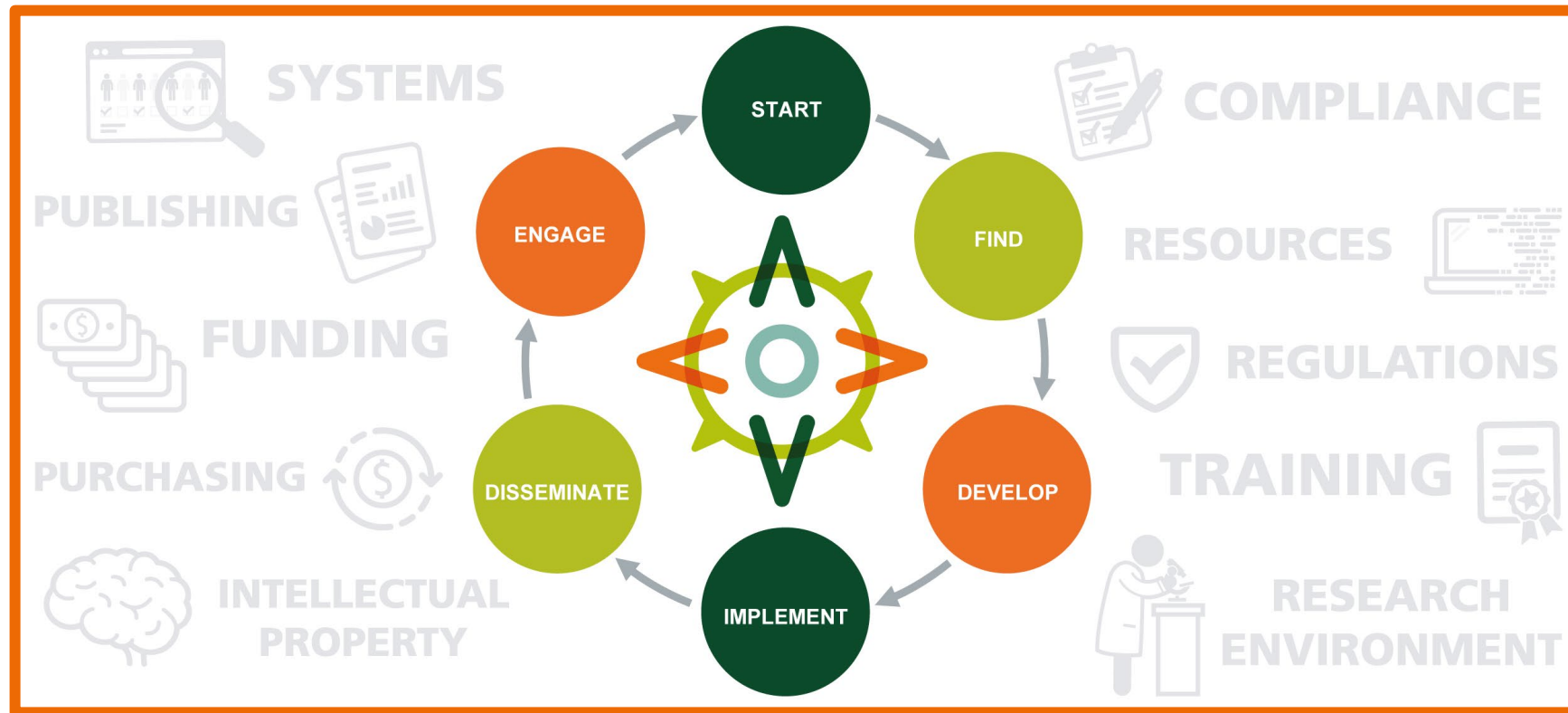


Research Compass & IT Resources for Researchers

Research Compass – New Tool!!



- Designed to help navigate research at the U
- Organized by stages of the research lifecycle



Research Compass

- Timely and need-to-know info
- Key Contacts
- Tip Sheets and Checklists
- Getting Started Guides
- Additional support for each topic

<https://researchcompass.miami.edu/courses/research-compass>



Questions Answered . . .

What are a PI's
responsibilities?



What training is required for
researchers?

How do I find
collaborators?



How do I get started with
IRB?

What systems do I use?
And where do I get access?



How do I reimburse a
research participant?

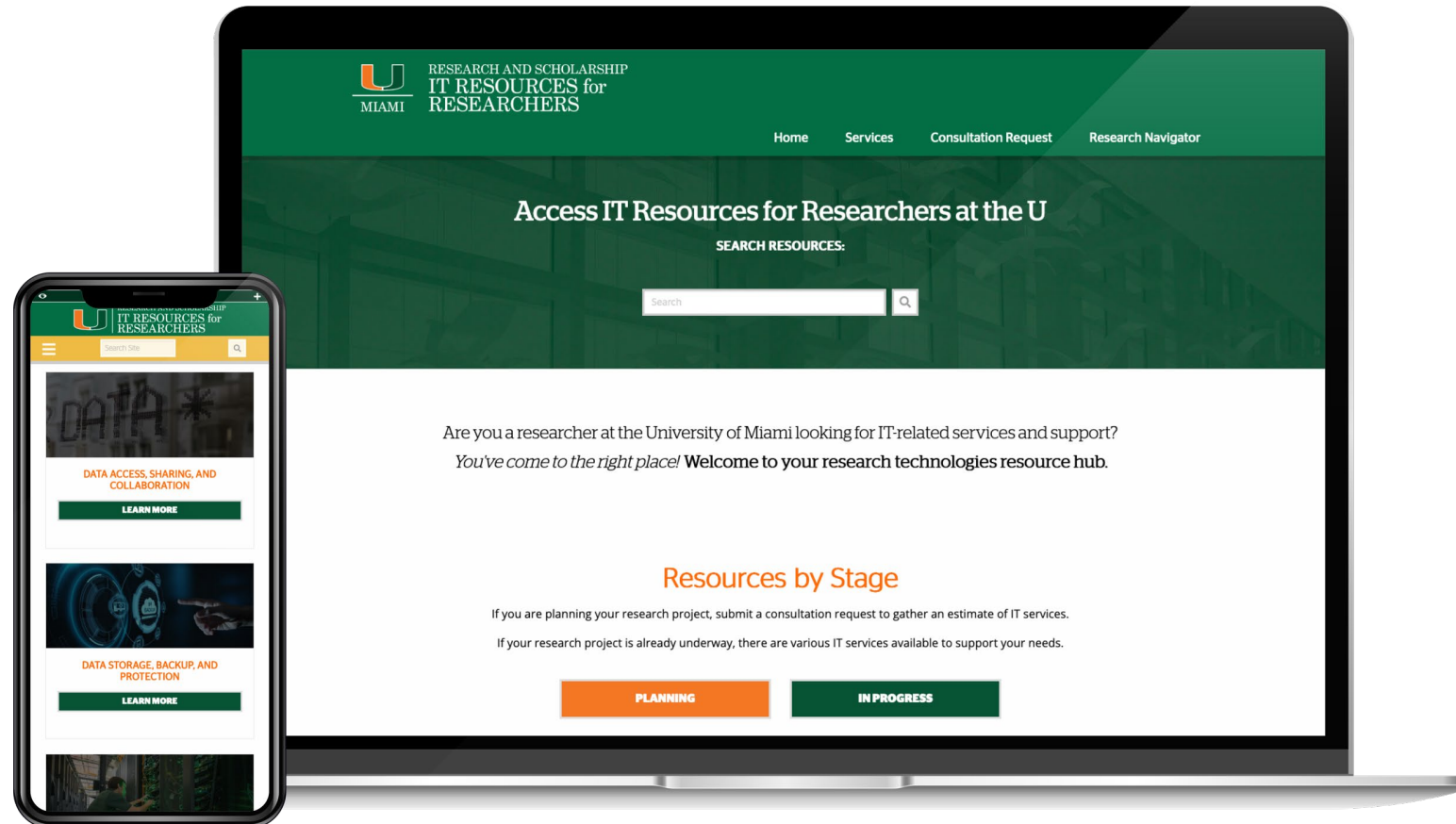


Tip Sheets and Guides

- ❖ Research Training Checklist
- ❖ Getting Started with NIH Applications
- ❖ Early Career Faculty Funding Opportunities
- ❖ Submitting Proposals in IBISResearch
- ❖ Clinical Trial Study Initiation Checklist
 - ❖ How to Expedite Contracts
 - ❖ And More!

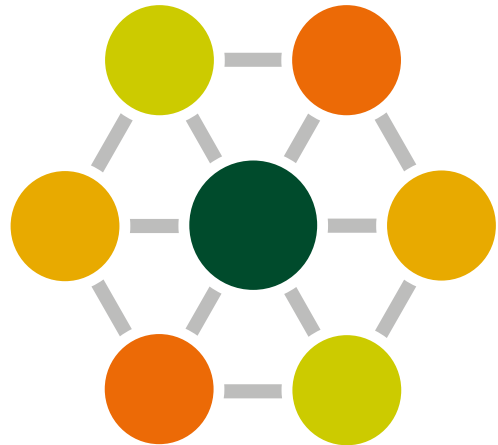


IT Resources for Researchers Hub



it-resources.research.miami.edu

One-Stop Shopping for IT Research Needs



- Data Access, Sharing, Collaboration
- Data Storage, Backup, and Protection
- High Performance Computing
- Networking and Security
- Software and Systems
- Innovation and Web Publishing
- Consultations
- Budget Planning

New Research Resources



Find them here!! <https://www.research.miami.edu/>



Feedback welcome! Let us know what you think. Complete the survey in the [Research Compass](#) and/or on the [IT Research Resources](#) website.

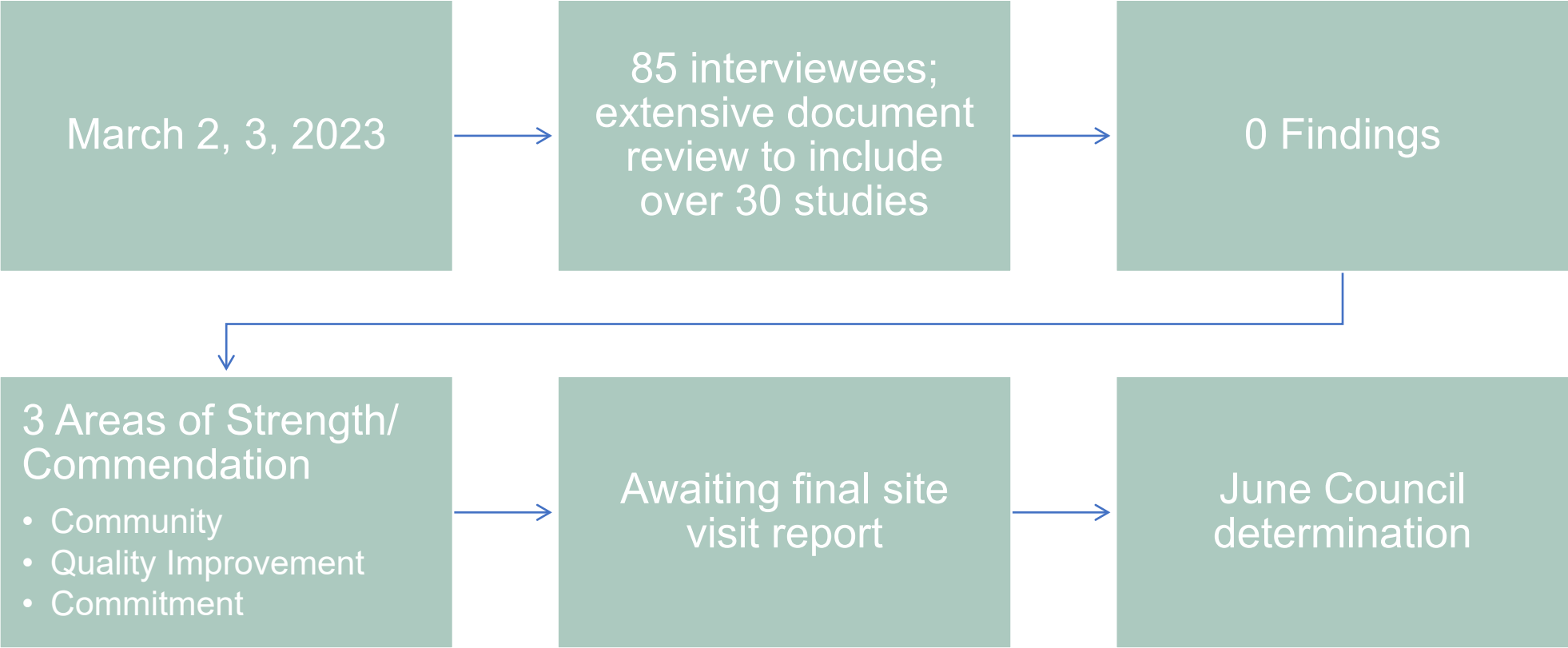
<https://www.research.miami.edu/>

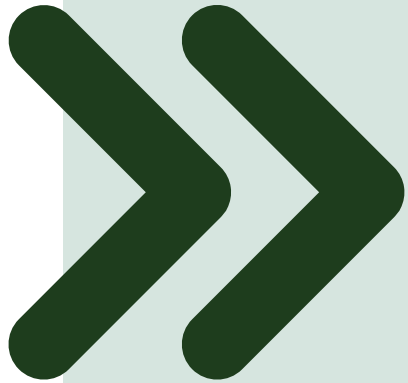


AAHRPP Reaccreditation Update

Kenia Viamonte
*Director, Human Subject Research
(HSR)*

Site Visit Feedback





UDisclose System Updates

Lory Hayes

Director,

Disclosures & Scholarly Activities Management

DSAM helpline: 305-243-0877

Conflict of Interest, Conflict of Commitment, Foreign Influence, and Institutional Conflict of Interest Policy

Promulgated January of 2022

All Covered Persons (CP) must:

- Complete training on the policy and Foreign Influence, annually
- Disclose outside and foreign interests/relationships, annually
 - Update within 30 days of changes or acquiring a new interest

"Annual disclosures" are now due. If not submitted:

- Funding proposals won't be submitted
- Award accounts will not be established, and
- Protocols will not be cleared by the IRB

Disclosures to submit via UDisclose System



Royalties, Innovations or Intellectual Property Rights



Holding an IND/IDE



Receipt of other support from any non-UM entity for research and/or scholarship



Receipt of any gifts from an entity, organization, or individual



Sponsored/reimbursed travel



Consulting fees, honoraria, stocks/equity

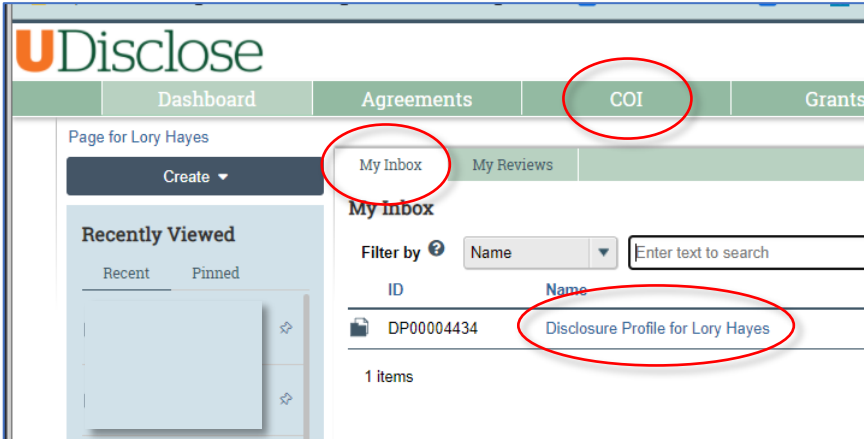


Board of Directors/fiduciary responsibilities



An interest in a company doing business with UM

Accessing a Disclosure Profile in UDisclose System



The screenshot shows the UDisclose system interface. The 'COI' tab is highlighted in the top navigation bar. Below it, the 'My Inbox' tab is selected, showing a list of disclosure profiles. The profile for 'Lory Hayes' with ID 'DP00004434' is highlighted. The profile name 'Disclosure Profile for Lory Hayes' is circled in red. The table also shows the date '1/22/2022 12:57 PM', the due date '1/27/2022 4:44 AM', and the status 'Action Required'.

Via any web browser at
<https://UDisclose.Miami.edu>



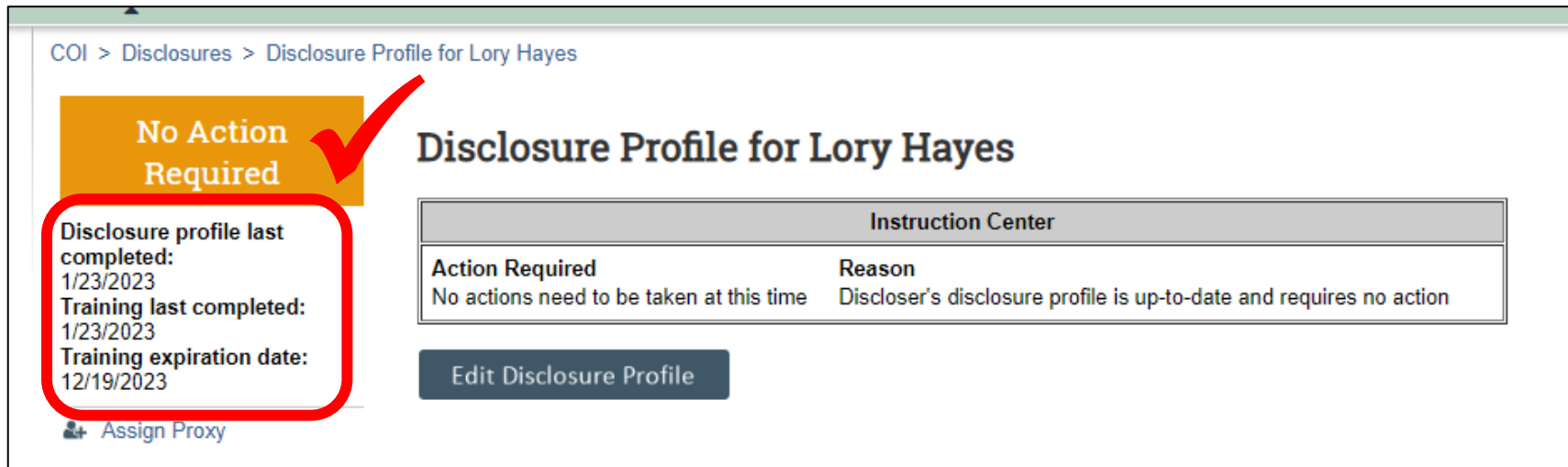
The screenshot shows an email notification from the UDisclose system. The sender is 'OVPRShelpdesk@miami.edu' and the recipient is 'Hayes, Lory, Ph.D.'. The email contains the UDisclose logo and a note: 'Note: Please review the details of the notification below, this has been sent to you either action.' Below the note is a table with the following information:

To:	Lory Hayes
Link:	Disclosure Profile for Lory Hayes (DP00004434)

The link is highlighted in yellow, and a green arrow points to it from the text box on the right. Below the table, the text reads: 'The University of Miami's conflict of interest (COI) policy requires that you complete COI training and disclose your outside interests to the university, on an a'.

Via a link in a
UDisclose System email

Submitting a Disclosure Profile in UDisclose System



COI > Disclosures > Disclosure Profile for Lory Hayes

No Action Required ✓

Disclosure Profile for Lory Hayes

Disclosure profile last completed: 1/23/2023
Training last completed: 1/23/2023
Training expiration date: 12/19/2023

Assign Proxy

Instruction Center

Action Required	Reason
No actions need to be taken at this time	Discloser's disclosure profile is up-to-date and requires no action

Edit Disclosure Profile

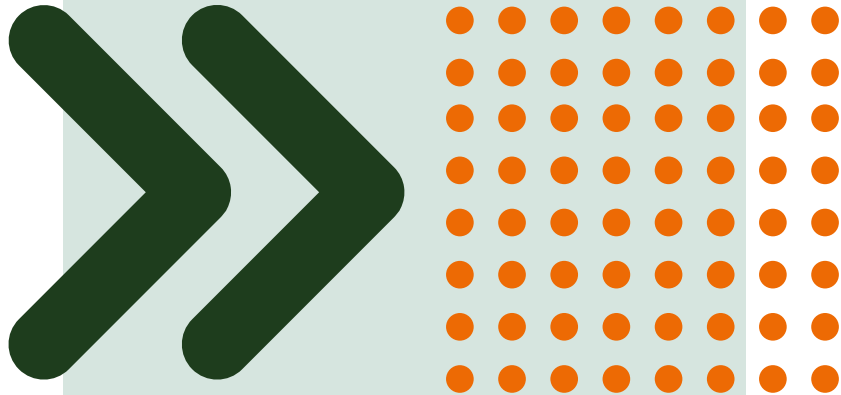
Resources (require CaneID):

- [UDisclose User Guides & Job Aids \(Box folder\)](#)
- [Discloser Compliance Status Job Aid \(PDF\)](#)
- [Discloser Compliance Status Report \(PowerBI\)](#)

NSF Disclosure Requirement

- *Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 23-1)*
- Effective for proposals submitted on or after January 30, 2023
- Must disclose venture or other capital financings
- Qualtrics survey will be completed by all investigators submitting NSF proposals
 - Y/N responses
 - No identifying information will be collected
- RA will have access to a spreadsheet listing names
- If not completed, NSF proposal cannot be submitted
- **Follow this link to the Survey:** https://umiami.qualtrics.com/jfe/form/SV_6QJ9O6WaLSVmwIK or copy and paste the URL below into your internet browser.

Questions? Call the UDisclose Help Desk 305-243-0877



IACUC SYSTEM IMPLEMENTATION UPDATE

Alejandra Quiñones

Project Manager,

Project Management Office

IACUC Implementation



The screenshot shows the homepage of the IACUC Project Site. At the top, there is a navigation bar with the University of Miami logo, the text "OFFICE of the VICE PROVOST for RESEARCH & SCHOLARSHIP", a search bar, and a "DIVERSITY" dropdown menu. Below the navigation bar, the main heading is "New IACUC System". There are five content cards arranged in two rows. The first row contains "Project Information", "Benefits", and "Frequent Asked Questions (FAQ)". The second row contains "Contact Us" and "Training Resources". Each card has an illustration and a brief description of the page's content.

EverBrighter The Campaign for Our Next Century | Learn More
UNIVERSITY OF MIAMI

OFFICE of the VICE PROVOST for RESEARCH & SCHOLARSHIP

Search Site DIVERSITY

Research Compass Research Lifecycle Learners Key Partners About Site Feedback

New IACUC System

Project Information
The Project Information page provides information about the Huron IACUC Module Implementation.

Benefits
The Benefits page provides information about the various benefits of the Huron IACUC Module Implementation.

Frequent Asked Questions (FAQ)
The FAQ page contains answers to frequently asked questions (FAQ) regarding the Huron IACUC Module Implementation.

Contact Us
If you would like to get in contact with the Huron IACUC project team, feel free to use this form to get in touch with us.

Training Resources
Training Resources page provides a curriculum, user guides and tip sheets.

COMING SOON

IACUC Project Site

www.miami.edu/IACUC

Goes live on Monday, April 3rd

IACUC Implementation





**STREAMLINE
YOUR
PROTOCOLS**

WITH THE NEW IACUC
SYSTEM

STAY TUNED
**COMING
SOON**
FALL 2023

FOR MORE INFO
WWW.MIAMI.EDU/IACUC

Questions

