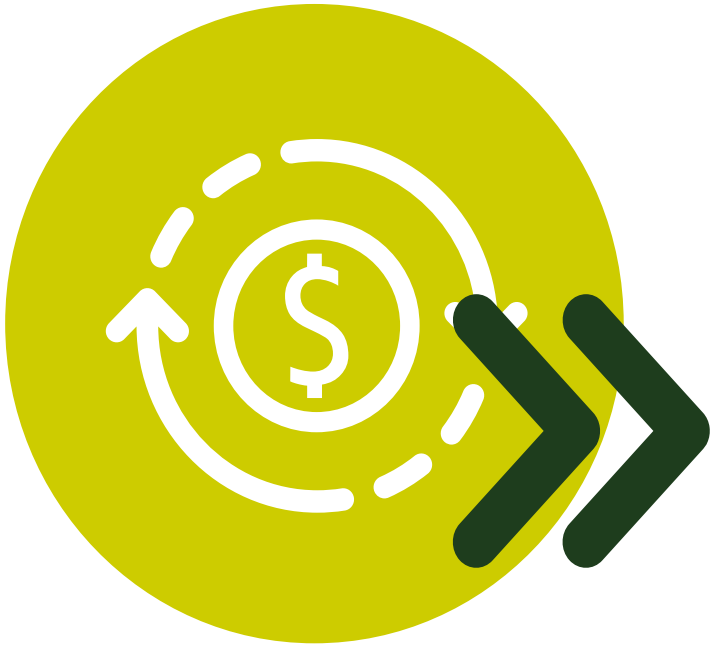
VPRS Administrative Updates

March 2023

OFFICE OF THE VICE PROVOST FOR RESEARCH & SCHOLARSHIP

Agenda

- Transition to STRATA & impacts to Costing Allocations at MSOM
- Reminder! Make sure PIs are aware of PAAs
- SBIR/STTR Submission Requirements
- Unobligated Balance Reporting on RPPRs and Prams
- Effort
- Submitting rebudgeting or NCE requests directly to sponsors
- Poll: Should we re-implement the IPAR form?
- New Research Resources
  - Research Compass
  - IT Resources for Researchers
- AAHRPP Reaccreditation update
- UDisclose System Updates
- IACUC System Implementation Update



Transition to STRATA & Impacts to Costing Allocations at MSOM

Laura Kozma
Associate Vice President
Research Administration

Cristina Elgarresta
Associate Vice President
Total Rewards

Transition to STRATA & Impacts to Costing Allocations at MSOM

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Reminder! Make Sure PIs Are Aware of PAAs

Laura Kozma
Associate Vice President
Research Administration

Make Sure PIs Are Aware of PAAs

- Only the PI can confirm the amount of time individuals are working on a project
- Payroll allocations and PAAs must never be submitted unless the PI is aware of and approves it
- Exceptions may exist for changes related to salary cap (no longer required after implementation of salary cap in workday) or for some PAAs related to retro pay

SBIR/STTR Submission Requirements

Brandon Strickland
Executive Director
Research Administration

SBIR/STTR Submission Requirements

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Unobligated Balance Reporting on RPPRs and PRAMs

Brandon Strickland
Executive Director
Research Administration

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Unobligated Balance Reporting on RPPRs and PRAMs

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Effort

Kimberly Croft
Executive Director
Research Administration

Upcoming Changes

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- Weekly PAA loads
- Time period to correct previously certified effort:
  - Currently up to 4 months after the end of the reporting period
  - Starting next certification period, up to 2 weeks after the end of the certification period
- Future: System shut down will be minimized

Reminders

- PAA justifications must be adequate to be approved
- Clear defaults regularly and timely
- Ensure costs for terminated employees are being allocated to grants timely
- Update costing allocations

Other Announcements

- System is still down
- Suggestions to improve?
  - Send to: Kimberly Croft kcroft@miami.edu

Submitting Rebudgeting, or NCE Requests Directly to Sponsors

Lionel Vera
Executive Director
Research Administration

Submitting Rebudgeting or NCE Requests to Sponsors

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- Requests requiring sponsor prior approval, such as Rebudgeting and NCE's, must be submitted through Research Administration (RA).
  - RA can copy the department on communications, if necessary.
- Avoids delays when sponsors require the request to come from RA.
- Increases communications and avoids unnecessary work (e.g., RA closing award while NCE request pending).

Poll

Lionel Vera
Executive Director
Research Administration

INTERNAL PRIOR APPROVAL REQUEST (IPAR) – Revised January 2018

Submit form for approval to the Office of Research Administration

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Principal Investigator: **Cost Center #:**

Phone: **Fax:** **School:**

Agency Name: **Agency Grant #** (if pending, proposal # will be assigned)

Budget Start Date: **Budget End Date:** **Award #** (if pending, insert N/A)

Project Title:

I. APPROVAL IS REQUESTED FOR THE FOLLOWING ACTION(S):

☐ **PreAward Costs up to 90 days** for federal awards issued under Delegated Authority only.
Enter pre-award date requested here and complete sections III and IV.

☐ **End Date Extension with no new funding from sponsored agency.** Extension cannot exceed 12 months and not more than one extension can be granted without prior written, agency approval. Must be requested 30 days before original end date of Project. Justifications for end date extensions must be based on scientific reasons, not on explanations that are based on the use of funds remaining at end of project .
Enter new end date for award here and complete sections III and IV.

☐ **Equipment Acquisition not included in original budget.** Complete Sections II, III and IV.

☐ **Rebudgeting.** Complete Sections II, III and IV.

☐ **Other Category of Request.** Specify as part of Section III below, complete section IV and also section II (if pertinent).

II. ACTION INDICATED ABOVE REBUDGETING

NOTE: Rebudgeting applies to Federal grants awarded under Delegated Authorities. Other awarding agencies may have stricter requirements. Please consult with the Office of Research Administration to determine if UM is authorized to rebudget, or if prior written, agency approval is required.

Increase Budget:

Spend Category	Description	Amount
		$
		$
		$
	F&A (Indirect) Cost	$
	TOTAL	$

Decrease Budget:

Spend Category	Description	Amount
		$
		$
		$
	F&A (Indirect) Cost	$
	TOTAL	$

III. EXPLANATION/JUSTIFICATION REQUIRED.

Please document below why action requested is necessary and how it specifically relates to and benefits the project. ☐ Check here if additional pages are attached.

New Research Resources

Patty Atkinson
Research Navigator, Research Development + Education (RDE)

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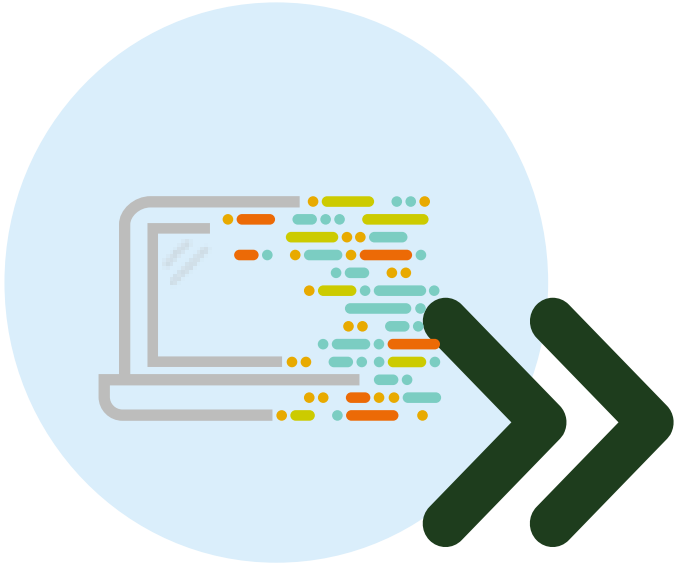

Research Compass
&
IT Resources for Researchers

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Research Compass – New Tool!!

NEW

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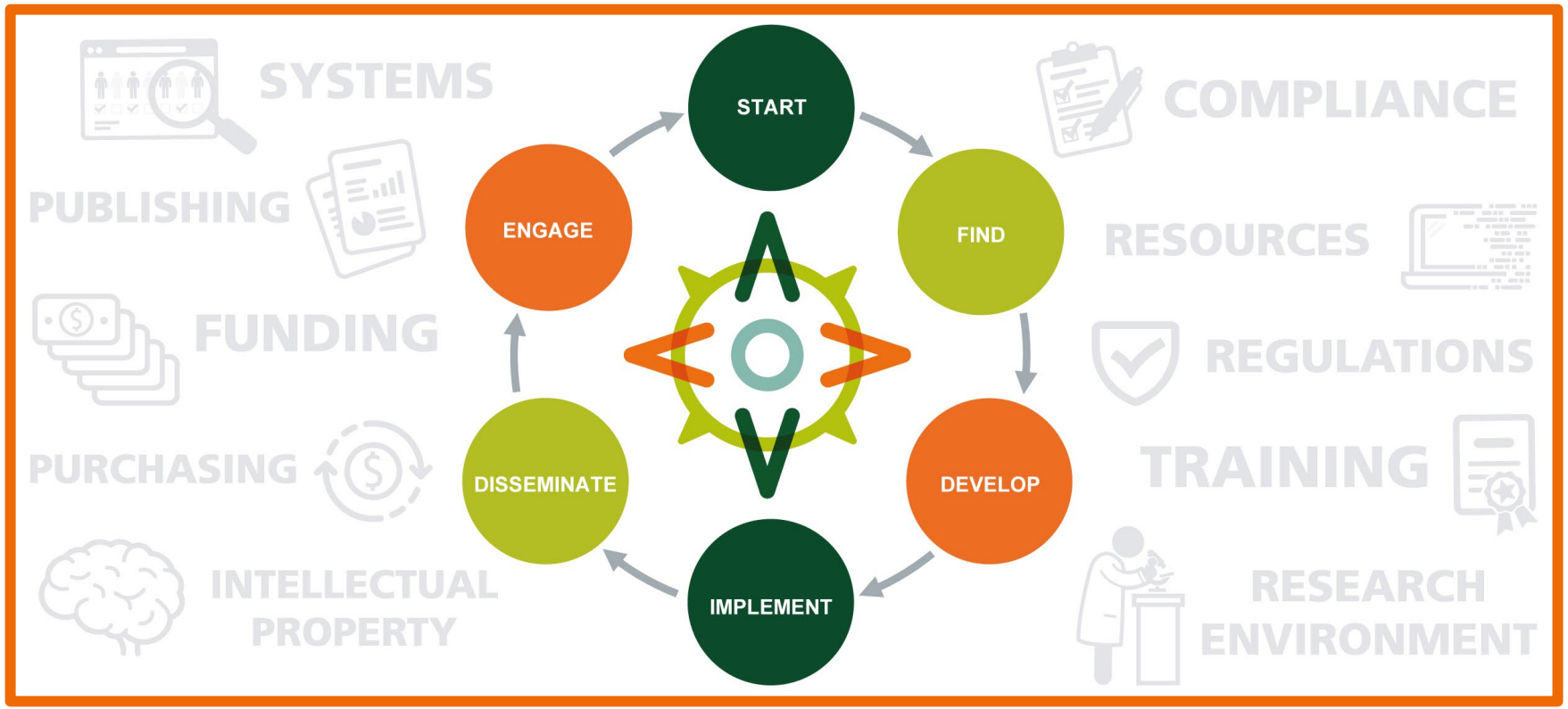
- Designed to help navigate research at the U
- Organized by stages of the research lifecycle



Research Compass

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- Timely and need-to-know info
- Key Contacts
- Tip Sheets and Checklists
- Getting Started Guides
- Additional support for each topic

https://researchcompass.miami.edu/courses/research-compass

CTSI MIAMI CLINICAL & TRANSLATIONAL SCIENCE INSTITUTE

OFFICE of the VICE PROVOST for RESEARCH & SCHOLARSHIP

Questions Answered . . .

What are a PI's responsibilities?

What training is required for researchers?

How do I find collaborators?

How do I get started with IRB?

What systems do I use? And where do I get access?

How do I reimburse a research participant?

Research Compass

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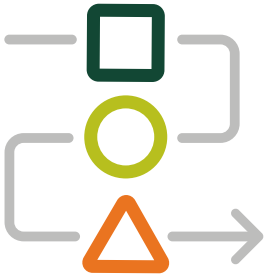
Tip Sheets and Guides



- Research Training Checklist
- Getting Started with NIH Applications
- Early Career Faculty Funding Opportunities
- Submitting Proposals in IBISResearch
- Clinical Trial Study Initiation Checklist
- How to Expedite Contracts
- And More!

IT Resources for Researchers Hub

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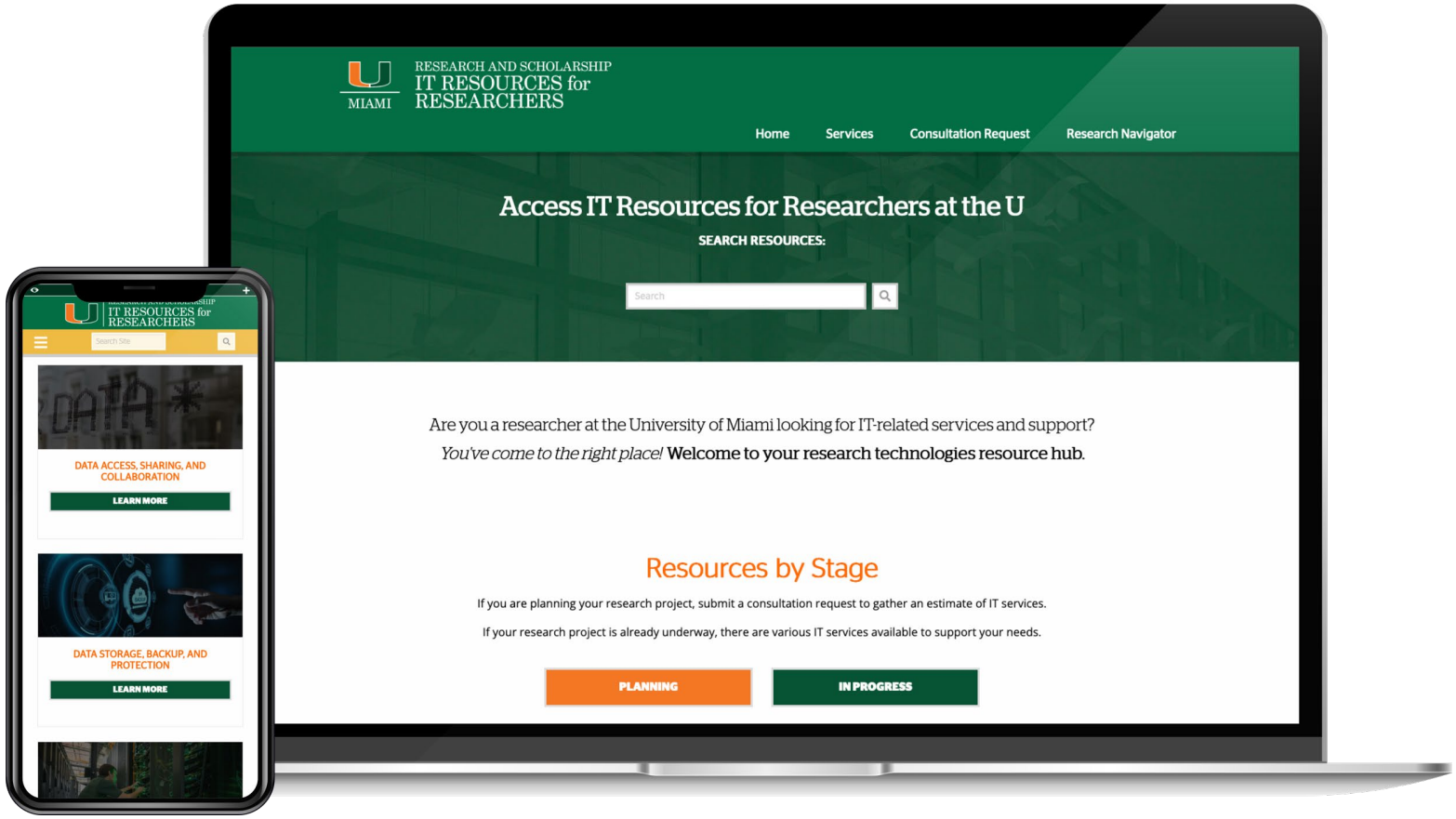

It-resources.research.miami.edu

IT Resources for Researchers Hub

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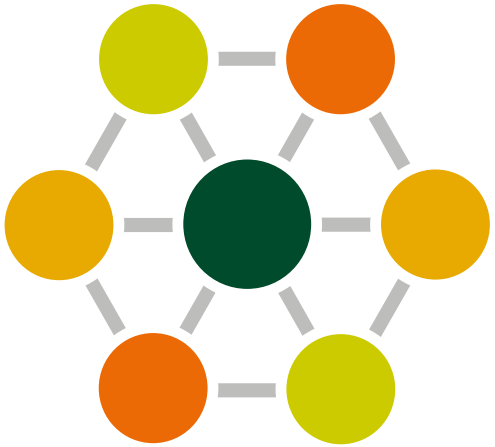
One-Stop Shopping for IT Research Needs



- Data Access, Sharing, Collaboration
- Data Storage, Backup, and Protection
- High Performance Computing
- Networking and Security
- Software and Systems
- Innovation and Web Publishing
- Consultations
- Budget Planning

New Research Resources

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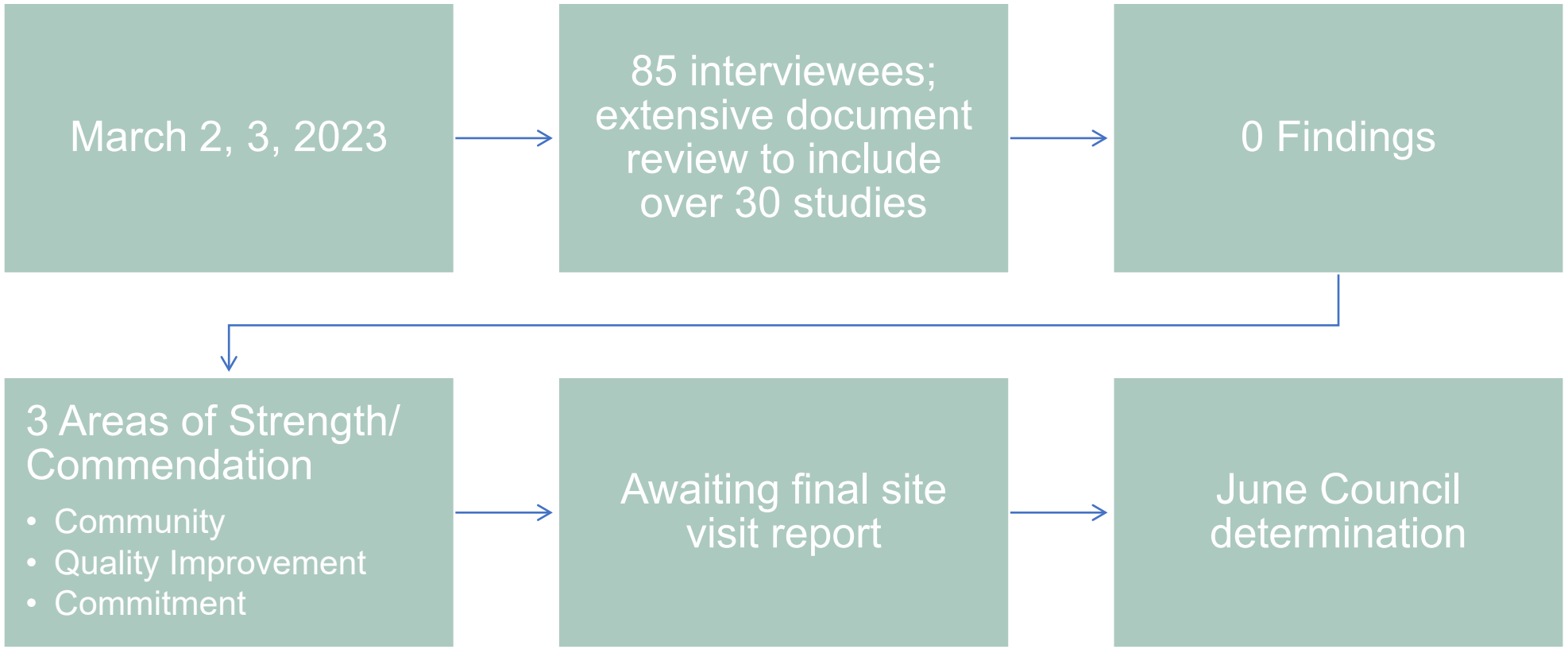
Find them here!! https://www.research.miami.edu/

Feedback welcome! Let us know what you think. Complete the survey in the Research Compass and/or on the IT Research Resources website.

AAHRPP Reaccreditation Update

Kenia Viamonte
Director, Human Subject Research (HSR)

Site Visit Feedback



UDisclose System Updates

Lory Hayes

Director,

Disclosures & Scholarly Activities Management

DSAM helpline: 305-243-0877

Conflict of Interest, Conflict of Commitment, Foreign Influence, and Institutional Conflict of Interest Policy

Promulgated January of 2022

All *Covered Persons* (CP) must:

- Complete training on the policy and Foreign Influence, annually
- Disclose outside and foreign interests/relationships, annually
  - Update within 30 days of changes or acquiring a new interest

"Annual disclosures" are now due. If not submitted:

- Funding proposals won't be submitted
- Award accounts will not be established, and
- Protocols will not be cleared by the IRB

Disclosures to submit via UDisclose System

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Royalties, Innovations or Intellectual Property Rights

Holding an IND/IDE

Receipt of other support from any non-UM entity for research and/or scholarship

Receipt of any gifts from an entity, organization, or individual

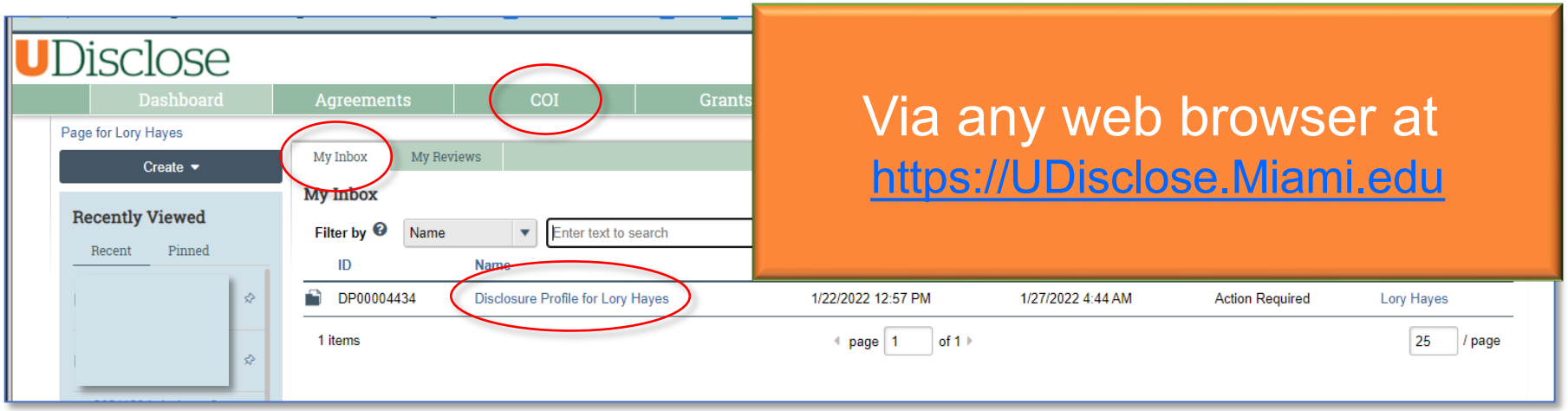
Sponsored/ reimbursed travel

Consulting fees, honoraria, stocks/equity

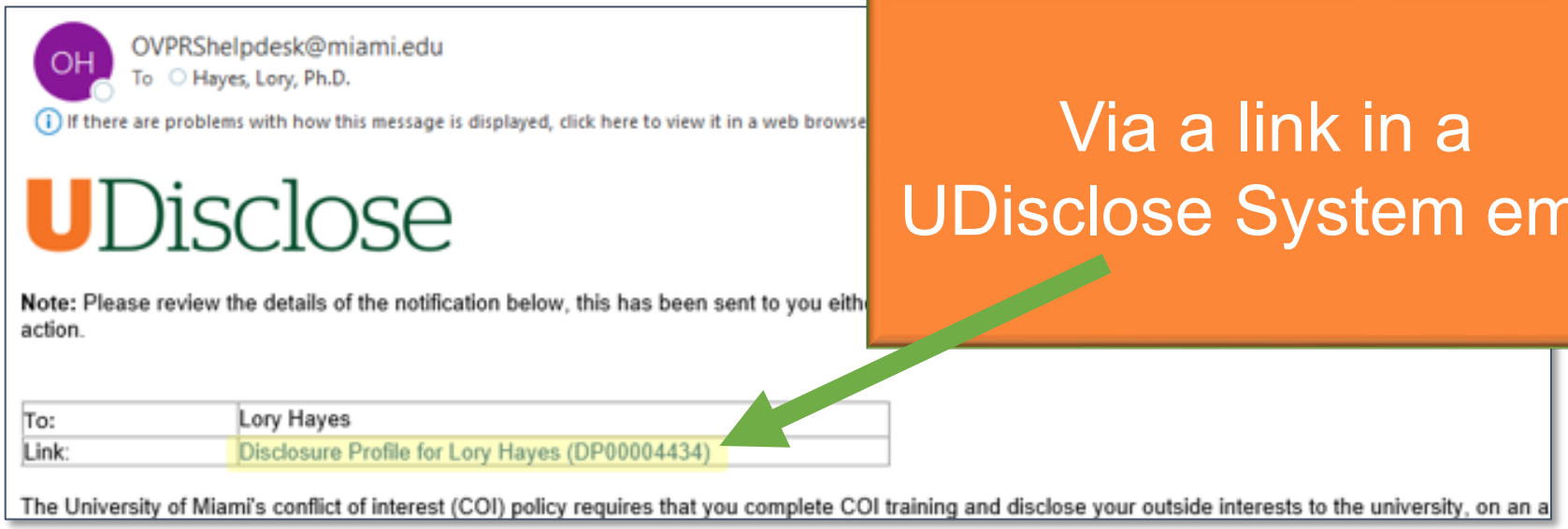
Board of Directors/fiduciary responsibilities

An interest in a company doing business with UM

Accessing a Disclosure Profile in UDisclose System

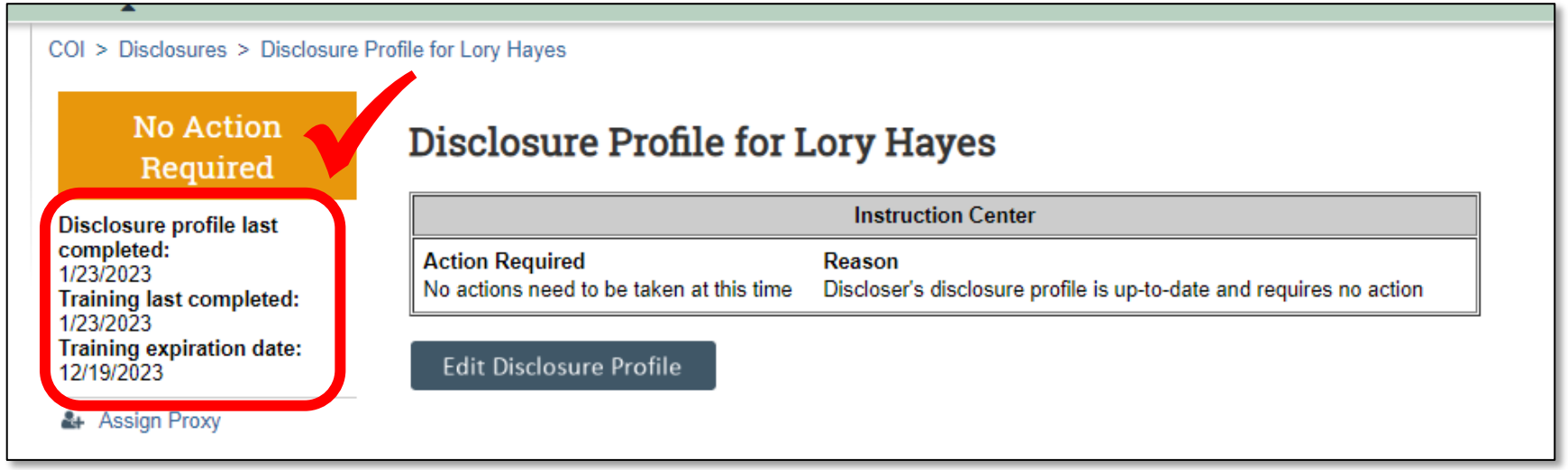


Via any web browser at
https://UDisclose.Miami.edu



Via a link in a
UDisclose System email

Submitting a Disclosure Profile in UDisclose System



Resources (require CaneID):

- UDisclose User Guides & Job Aids (Box folder)
- Discloser Compliance Status Job Aid (PDF)
- Discloser Compliance Status Report (PowerBI)

NSF Disclosure Requirement

- *Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 23-1)*
- Effective for proposals submitted on or after January 30, 2023
- Must disclose *venture or other capital financings*
- Qualtrics survey will be completed by all investigators submitting NSF proposals
  - Y/N responses
  - *No identifying information will be collected*
- RA will have access to a spreadsheet listing names
- If not completed, NSF proposal cannot be submitted
- **Follow this link to the Survey:** https://umiami.qualtrics.com/jfe/form/SV_6QJ9O6WaLSVmwIK or copy and paste the URL below into your internet browser.

Questions? Call the UDisclose Help Desk 305-243-0877

IACUC SYSTEM IMPLEMENTATION UPDATE

Alejandra Quiñones

Project Manager,

Project Management Office

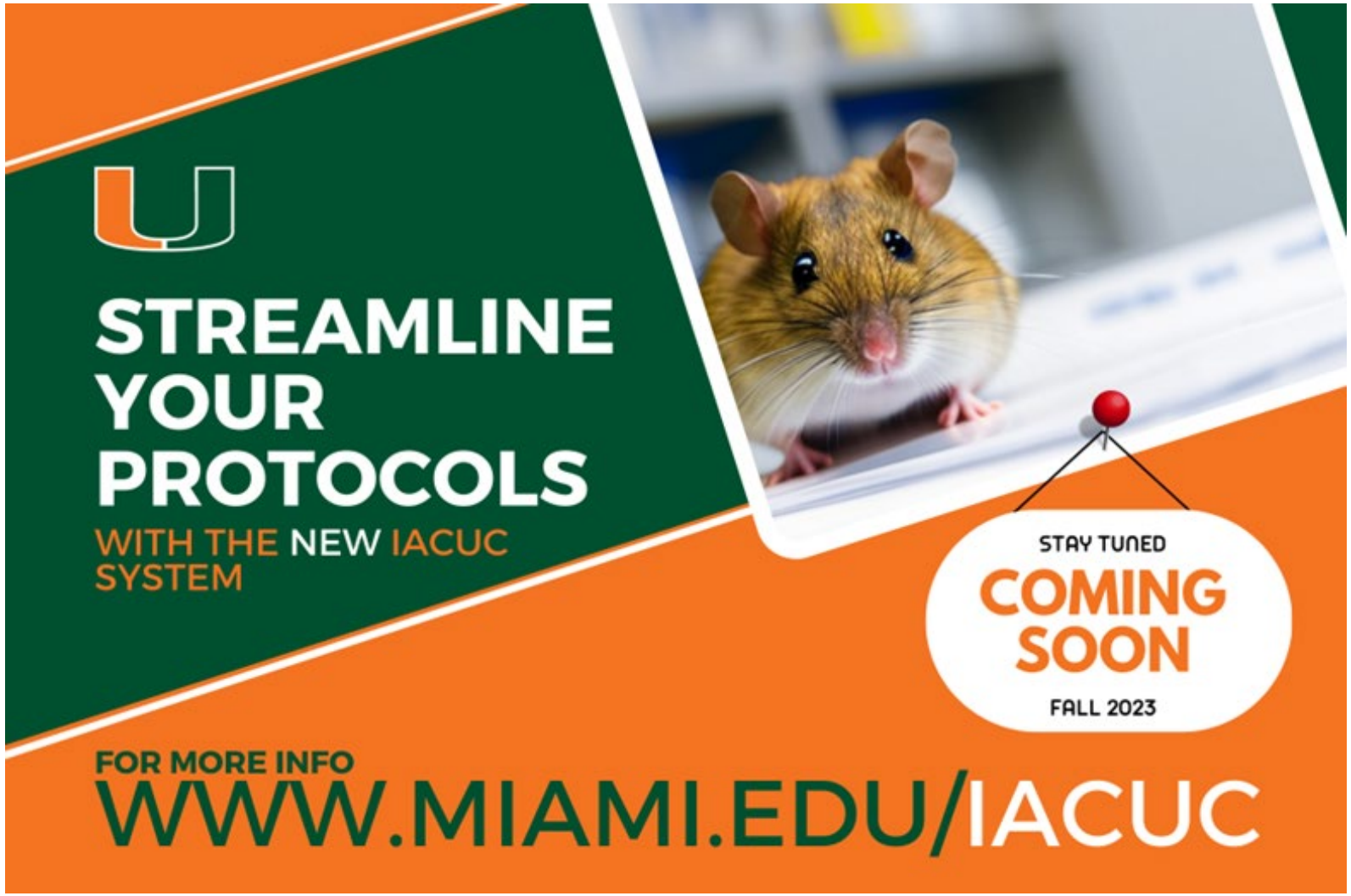
IACUC Implementation

IACUC Project Site

www.miami.edu/**IACUC**

Goes live on Monday, April 3rd

IACUC Implementation



Questions

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