<table>
<thead>
<tr>
<th>Ancillary Review Type</th>
<th>Initiator</th>
<th>Approver</th>
<th>Response Required?</th>
<th>Required Documents/Information</th>
<th>Person or Organization</th>
<th>Selection</th>
</tr>
</thead>
</table>
| Compliance (COI)                      | ORA                | VPR/EDR        | Y                  | Read and follow the [Conflict of Interest in Research Policy](#).  
  - Include a letter disclosing the potential conflict  
  - Attach to Ancillary Review                        | N/A                | This is for SBIR/STTR only and generated by ORA. |
| Cost Share                            | Department         | VPR/EDR        | Y                  | Read and follow the [Cost Sharing Policy](#).  
  - Completed Cost Share Budget  
  - Justification letter for the Cost Share  
  - NEW REQUIRED: Cost Share Form (must be included on attachments)  
  *Note: When requesting cost share voluntary or mandatory please include sponsor details in addition to the waiver and NEW required cost share form in the attachments.* | Person                          | Gables or RSMAS: Laura Kozma  
  Medical: Marcos A. Garza |
| Department Chair/Center Director (as PI) | Department       | Dean/Dean Designee | Y                  | Include a justification comment for approval in the ancillary review.  
  * Note: If an approver noted here is the PI, the Proposal requires approval from a higher level. | Person                          | Medical: Marcos A. Garza  
  RSMAS: Roni Avissar  
  A&S: Leonidas Bachas  
  Engineering: Fabrice Manns |
| Export Controls                       | Department/ORA     | William Collins | Y                  | Read and follow the [Export Control Policy](#).  
  - Letter disclosing export control concerns  
  - Attach to Ancillary Review                      | Person                          | William (Bill) Collins |
| Indirect Cost Waiver                  | Department         | VPR/EDR        | Y                  | Read and follow the [F&A Waiver Policy](#).  
  - Justification letter  
  - NEW REQUIRED: Indirect Cost Waiver Form (must be included on attachments) | Person                          | Gables or RSMAS:“Provosts Office” (CC00372) on the Organization  
  Medical: Marcos A. Garza |
| Late Proposal Submission              | Department         | VPR/EDR        | Y                  | Required documents/Information:  
  - Read and follow the [Proposal Exception Process](#).  
  - Include a justification and supporting documentation  
  - Request must be submitted in advance of the ORA deadline | Person                          | Medical, Gables and RSMAS: Laura Kozma |
| Nepotism/Familial Relationship        | Department         | VPR/EDR        | Y                  | Read and follow the [Nepotism in Research Policy](#).  
  - Include a Nepotism Memo  
  - Attach to Ancillary Review                      | Person                          | Medical, Gables and RSMAS: Laura Kozma |
| PI Eligibility | Department | VPR/EDR | Y | Read and follow [PI Eligibility Policy](#) For Case By Case Exceptions:  
- Commitment/Letter of Support.  
- Attach to Ancillary Review | Person | Medical, Gables and RSMAS: Laura Kozma |
|---|---|---|---|---|---|---|
| Resources | Department | Chair, Dean or Owner of Resource | Y | Letter requesting resources needed for the project  
- Attach to Ancillary Review | Person | Select appropriate Chair Center/Dir/Dean from the person picklist |
| Terms & Conditions | ORA | ORA Contract Team | Y | ORA Activity | N/A | Internal ORA |